

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

JEFF RIHN, Individually and on Behalf of All Others  
Similarly Situated,

Plaintiff,

v.

ACADIA PHARMACEUTICALS INC., ULI HACKSELL,  
STEPHEN R. DAVIS, and ROGER G. MILLS,

Defendants.

Case No. 15-cv-00575 BTM DHB

STEVE A. WRIGHT AND VICKI G. WRIGHT, Individually  
and on Behalf of All Others Similarly Situated,

Plaintiffs,

v.

ACADIA PHARMACEUTICALS INC., ULI HACKSELL,  
STEPHEN R. DAVIS, and ROGER G. MILLS,

Defendants.

**PROOF OF CLAIM AND RELEASE FORM**

**I. GENERAL INSTRUCTIONS**

To recover as a Class Member based on your claims in the action entitled *Rihn, et al. v. ACADIA Pharmaceuticals Inc., et al.*, No. 15-cv-00575 BTM DHB (the "Action"), you must complete and sign this Proof of Claim and Release Form.

If you fail to submit a timely and properly addressed Proof of Claim and Release Form, your claims may be rejected and you may not receive any recovery from the Settlement Fund created in connection with the proposed Settlement. Submission of this Proof of Claim and Release Form, however, does not assure that you will share in proceeds of the Settlement of the Action.

**YOU MUST MAIL YOUR COMPLETED AND SIGNED PROOF OF CLAIM AND RELEASE FORM POSTMARKED ON OR BEFORE SEPTEMBER 16, 2017, ADDRESSED AS FOLLOWS:**

*ACADIA Pharmaceuticals Inc. Securities Litigation*  
c/o KCC Class Action Services  
P.O. Box 404009  
Louisville, KY 40233-4009

If you are NOT a Class Member (as defined in the Notice of Pendency and Settlement of Class Action ("Settlement Notice")), DO NOT submit a Proof of Claim and Release Form. Also, NOTE THAT CLAIMS CALCULATING TO AN AWARD LESS THAN \$10.00 WILL **NOT** BE PAID.

If you are a Class Member and you do not timely request exclusion in connection with the proposed Settlement, you will be bound by the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM AND RELEASE FORM.**

**II. CLAIMANT IDENTIFICATION**

If you purchased or otherwise acquired the publicly traded common stock and/or call options of ACADIA Pharmaceuticals Inc. ("ACADIA") in the United States or on the NASDAQ Global Select Market, you are the beneficial purchaser as well as the record purchaser. If however, you purchased or otherwise acquired ACADIA common stock and/or call options that were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial purchaser and the third party is the record purchaser.

**THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR ACQUIRER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S) OR ACQUIRER(S) OF THE ACADIA COMMON STOCK AND/OR CALL OPTIONS UPON WHICH THIS CLAIM IS BASED.**

All joint purchasers must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this Proof of Claim and Release Form on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The last four digits of the Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

### III. CLAIM FORM

Use Part II of the form entitled "Schedule of Transactions in ACADIA Common Stock" to supply all required details of your transaction(s) in ACADIA common stock. Use Part III of the form entitled "Schedule of Transactions in ACADIA Call Options" to supply all required details of your transaction(s) in ACADIA call options. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to (1) **all** of your purchases or acquisitions of ACADIA common stock which took place at any time from November 10, 2014 to June 9, 2015, both dates inclusive; (2) **all** of your sales of ACADIA common stock which took place at any time from November 10, 2014 to June 9, 2015, both dates inclusive; (3) your ACADIA common stock positions as of the close of trading on November 9, 2014 and the close of trading on June 9, 2015; (4) all of your purchases of ACADIA call options which took place at any time from November 10, 2014 to March 11, 2015; and (5) **all** exercises and sales of ACADIA call options that were purchased during the Class Period or expirations of unexercised ACADIA call options that were purchased during the Class Period.

List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

Copies of broker confirmations or other documentation of your transactions in ACADIA common stock and/or call options should be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim.

**NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested to, submit information regarding their transactions in electronic files. All claimants **MUST** submit a manually signed paper Proof of Claim and Release Form whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at 1-844-515-6321 or visit their website at [www.AcadiaSecuritiesSettlement.com](http://www.AcadiaSecuritiesSettlement.com) to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgement of receipt and acceptance of electronically submitted data.

Official  
Office  
Use  
Only

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

*Rihn, et al. v. ACADIA Pharmaceuticals Inc., et al.*

No. 15-CV-00575-BTM DHB

**PROOF OF CLAIM AND RELEASE**

Please Type or Print in the Boxes Below  
Do NOT use Red Ink, Pencil, or Staples

Must Be Postmarked  
or Received No Later  
Than September 16, 2017

**AXN**



**PART I: CLAIMANT IDENTIFICATION**

Last Name	M.I.	First Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA		
<input type="text"/>		
Account#/Fund# (Not Necessary for Individual Filers)		
<input type="text"/>		

Last Four Digits of Social Security Number or Taxpayer Identification Number<sup>1</sup>

Contact Person (if Claimant is not an individual)

Telephone Number (Primary Daytime)

 —  — 

Telephone Number (Alternate)

 —  — 

Email Address

**MAILING INFORMATION**

Address		
<input type="text"/>		
Address		
<input type="text"/>		
City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>
Foreign Province	Foreign Postal Code	Foreign Country Name/Abbreviation
<input type="text"/>	<input type="text"/>	<input type="text"/>

<sup>1</sup> The last four digits of the taxpayer identification number (TIN), consisting of a valid Social Security number (SSN) for individuals or employer identification number (EIN) for business entities, trusts, estates, etc., and telephone number of the beneficial owner(s) may be used in verifying this claim.

FOR CLAIMS PROCESSING ONLY	OB <input type="text"/>	CB <input type="text"/>	<input type="radio"/> ATP <input type="radio"/> KE <input type="radio"/> ICI	<input type="radio"/> BE <input type="radio"/> DR <input type="radio"/> EM	<input type="radio"/> FL <input type="radio"/> ME <input type="radio"/> ND	<input type="radio"/> OP <input type="radio"/> RE <input type="radio"/> SH	MM / DD / YYYY	FOR CLAIMS PROCESSING ONLY
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**PART II: SCHEDULE OF TRANSACTIONS IN ACADIA COMMON STOCK**

**1. Beginning Holdings**—State the total number of shares of ACADIA common stock held at the close of trading on November 9, 2014:

**2. Purchases/Acquisitions During The Class Period**—Separately list each and every purchase/acquisition of ACADIA common stock from the opening of trading on November 10, 2014 through the close of trading on March 11, 2015, inclusive. (Must be documented).

	Trade Date Month/Day/Year	Number of Shares Purchased or Acquired	Total Purchase or Acquisition Price (Excluding Commissions, Taxes and Fees) Please round off to the nearest whole dollar
	M M / D D / Y Y Y Y		
1.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
2.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
3.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
4.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
5.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00

**3. Purchases/Acquisitions During The 90-Day Look Back Period**—State the total number of shares of ACADIA common stock purchased/acquired from the opening of trading on March 12, 2015, through and including the close of trading on June 9, 2015. If none, write “zero” or “0.”

**4. Sales During The Class Period And The 90-Day Look Back Period**—Separately list each and every sale/disposition of ACADIA common stock from the opening of trading on November 10, 2014 through and including the close of trading on June 9, 2015. (Must be documented).

	Trade Date Month/Day/Year	Number of Shares Sold	Total Sales Price (Excluding Commissions, Taxes and Fees) Please round off to the nearest whole dollar
	M M / D D / Y Y Y Y		
1.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
2.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
3.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
4.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00
5.	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> .00

**5. Ending Holdings**—State the total number of shares of ACADIA common stock held as of the close of trading on June 9, 2015. (Must be documented).

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page. NOTE THAT CLAIMS CALCULATING TO AN AWARD AMOUNT LESS THAN \$10.00 WILL NOT BE PAID.

**YOU MUST READ AND SIGN THE RELEASE BELOW. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.**



**PART III. SCHEDULE OF TRANSACTIONS IN ACADIA CALL OPTIONS**

**1. Purchases/Acquisitions During The Class Period**—Separately list each and every purchase/acquisition of ACADIA call option contracts from the opening of trading on November 10, 2014 through the close of trading on March 11, 2015, inclusive. (Must be documented).

Purchase Date Month/Day/Year	Number of Options Purchased or Acquired	Expiration Date Month/Year	Strike Price	Purchase Price Per Contract	Insert an "E" if Exercised or "X" if Expired	Exercise Date (if Exercised)

**2. Sales Of Call Options Purchased During The Class Period**—If any of the ACADIA call options listed above were ultimately sold (not exercised or expired), please separately list each sale below, regardless of when the sale occurred. (Must be documented).

Sale Date Month/Day/Year	Number of Options Sold	Expiration Date Month/Year	Strike Price	Sale Price Per Contract

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page. NOTE THAT CLAIMS CALCULATING TO AN AWARD AMOUNT LESS THAN \$10.00 WILL **NOT** BE PAID.  
**YOU MUST READ AND SIGN THE RELEASE BELOW. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.**

**PART IV: SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGEMENTS**

I (We) submit this Proof of Claim and Release Form under the terms of the Stipulation described in the Settlement Notice. I (We) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my (our) claim as a Class Member and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Action. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim covering the same purchases, acquisitions, or sales of ACADIA common stock and/or call options during the Class Period and know of no other person having done so on my (our) behalf.

**RELEASE**

I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Settled Claims each and all of the "Defendant Releasees," defined as (1) Defendants, (2) Defendants' Counsel, (3) with regard to ACADIA, all past or present agents, officers, directors, attorneys, accountants, auditors, investment bankers, commercial bankers, underwriters, financial or investment advisors, or other advisors, insurers, co-insurers, reinsurers, partners, limited partners, controlling shareholders, joint venturers, co-developers, co-promoters, related or affiliated entities, advisors, employees, affiliates, predecessors, successors, parents, subsidiaries, and assigns for ACADIA and all such Persons of any entity in which ACADIA has a controlling interest; and (4) with regard to Uli Hacksell, Stephen R. Davis and Roger G. Mills, each individual's spouses, marital communities, immediate family members, heirs, executors, personal representatives, estates, administrators, trusts, predecessors, successors, and assigns or other individual or entity in which either Uli Hacksell, Stephen R. Davis and Roger G. Mills has a controlling interest, and each and all of their respective past or present officers, directors, employees, agents, affiliates, parents, subsidiaries, divisions, attorneys, accountants, auditors, advisors, insurers, co-insurers, re-insurers, heirs, executors, personal representatives, estates, administrators, trusts, predecessors, successors, and assigns; and each of Uli Hacksell, Stephen R. Davis and Roger G. Mills' present and former attorneys, legal representatives, insurers, and assigns in connection with the Action.

"Settled Claims" means any and all claims, rights, demands, obligations, controversies, debts, damages, losses, causes of action and liabilities of any kind or nature whatsoever (including, but not limited to, any claims for damages, restitution, rescission, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses, or liability whatsoever), whether based on federal, state, local, statutory, or common law or any other law, rule, or regulation, whether fixed or contingent, accrued, or un-accrued, liquidated or unliquidated, at law or in equity, matured or unmatured, or class or individual in nature (including both known and Unknown Claims) against Defendant Releasees, based on, arising out of, relating in any way to, or in connection with both (i) the facts, events, transactions, acts, occurrences, statements, representations, misrepresentations, or omissions which were or could have been alleged in the Action, and (ii) the purchase or acquisition of ACADIA common stock and/or call options during the Class Period.

"Unknown Claims" means any and all Settled Claims which Lead Plaintiffs in the Action or any Class Member does not know to exist in his, her, or its favor at the time of the release of Defendant Releasees, and any Defendants' Claims which Defendants did not know to exist in their favor at the time of the release of the Released Plaintiff Parties, which if known might have affected the decision to enter into the Settlement or the decision not to object to the Settlement. With respect to any and all Settled Claims and Defendants' Claims, the parties stipulate and agree that upon the Effective Date, Lead Plaintiffs and Defendants shall expressly, and each Class Member shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights and benefits of Cal. Civ. Code § 1542, which provides:

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiffs and Defendants shall expressly and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States, or principle of common law, which is similar, comparable or equivalent to California Civil Code § 1542. Lead Plaintiffs and Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Settled Claims, but Lead Plaintiffs shall expressly, fully, finally and forever settle and release, and each Class Member, upon the Effective Date, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released, any and all Settled Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiffs and Defendants acknowledge, and other Class Members by operation of law shall be deemed to have acknowledged, that the inclusion of "Unknown Claims" in the definition of Settled Claims and Defendants' Claims was separately bargained for and was a key element of this Settlement.



This release shall be of no force or effect unless and until the Court approves the Settlement and the Stipulation becomes effective.

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any rights or claims released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in ACADIA common stock and/or call options which are the subject of this claim, and which occurred from November 10, 2014 to and including June 9, 2015.

I declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied on this Proof of Claim and Release Form by the undersigned is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_  
(Month/Year)

in \_\_\_\_\_.

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g., beneficial purchaser, executor, or administrator)

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.  
THANK YOU FOR YOUR PATIENCE.

**REMINDER CHECKLIST**

1. Please sign the above release and certification. If this Proof of Claim is being made on behalf of joint claimants, then both must sign.
2. Remember to attach only copies of acceptable supporting documentation.
3. Please do not highlight any portion of the Proof of Claim or any supporting documents.
4. Do not send original securities certificates or other original documentation. These items cannot be returned to you by the Claims Administrator.
5. Keep copies of the completed Proof of Claim and documentation for your own records.
6. The Claims Administrator will acknowledge receipt of your Proof of Claim by mail, within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-844-515-6321.
7. If your address changes in the future, or if this Proof of Claim was sent to an old or incorrect address, please send the Claims Administrator written notification of your new address. If you change your name, please inform the Claims Administrator.
8. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the above address or at 1-844-515-6321, or visit [www.AcadiaSecuritiesSettlement.com](http://www.AcadiaSecuritiesSettlement.com).

*ACADIA Pharmaceuticals Inc. Securities Litigation*  
c/o KCC Class Action Services  
P.O. Box 404009  
Louisville, KY 40233-4009



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